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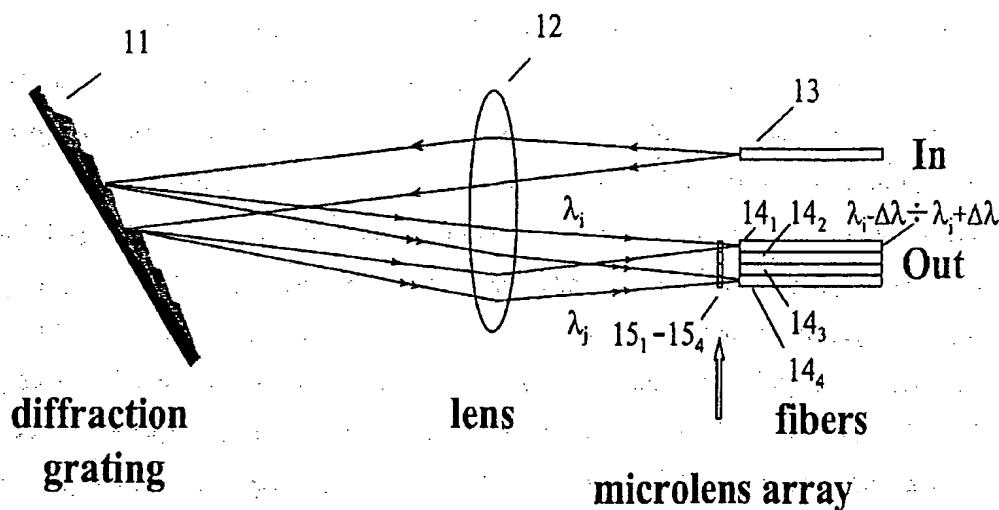
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(54) **MULTIPLEXEUR-DEMUTIPLEXEUR POUR SIGNAUX
OPTIQUES MRL**

(54) **MULTIPLEXER/DEMUTIPLEXER FOR WDM OPTICAL
SIGNALS**



(57) In a wavelength-division multiplexer/demultiplexer, which may be of the free-space kind, which uses a diffraction grating or other such angularly-dispersive element, a substantially flat spectral response is obtained by spatially-shaping the light beam(s) to modify the passband response in the dispersion plane. The spatial shaping may be obtained by means of a plurality of microlenses disposed adjacent a plurality of ports through which pass a corresponding plurality of angularly-dispersed light beams having different centre frequencies/wavelengths. Each microlens may be a cylindrical lens arranged with its cylindrical axis normal to the dispersion plane so as to shape the light beam in only one direction, i.e. that of the dispersion plane. The spatial shaping may be obtained by means of a single lens, conveniently a microlens, disposed adjacent a WDM port through which the wavelength division multiplexed light beam passes. Alternatively, a plurality of microlenses may be provided adjacent the plurality of ports and a single microlens may then be provided adjacent the single WDM port. The plurality of microlenses and the single microlens cooperate to provide a very small loss multiplexer/demultiplexer with a broad gaussian-type spectral response. The diffraction grating may be reflective or transmissive and may be a concave one so as to combine the functions of a grating and a lens. The microlenses could be spherical lenses, diffractive-type elements, and so on. Other dispersive elements, such as prisms, etc., may be used instead of the diffraction grating. By reversing the light ray direction, the demultiplexer can act as a multiplexer. One-dimensional spatial-shaping may be implemented in waveguide WDM devices.



Industrie Canada Industry Canada

ABSTRACT OF THE DISCLOSURE

In a wavelength-division multiplexer/demultiplexer, which may be of the free-space kind, which uses a diffraction grating or other such angularly-dispersive element, 5 a substantially flat spectral response is obtained by spatially-shaping the light beam(s) to modify the passband response in the dispersion plane. The spatial shaping may be obtained by means of a plurality of microlenses disposed adjacent a plurality of ports through which pass a corresponding plurality of angularly-dispersed light beams having different centre frequencies/wavelengths. Each microlens may be a cylindrical lens 10 arranged with its cylindrical axis normal to the dispersion plane so as to shape the light beam in only one direction, i.e. that of the dispersion plane. The spatial shaping may be obtained by means of a single lens, conveniently a microlens, disposed adjacent a WDM port through which the wavelength division multiplexed light beam passes. Alternatively, a plurality of microlenses may be provided adjacent the plurality of ports 15 and a single microlens may then be provided adjacent the single WDM port. The plurality of microlenses and the single microlens cooperate to provide a very small loss multiplexer/demultiplexer with a broad gaussian-type spectral response. The diffraction grating may be reflective or transmissive and may be a concave one so as to combine the functions of a grating and a lens. The microlenses could be spherical lenses, diffractive- 20 type elements, and so on. Other dispersive elements, such as prisms, etc., may be used instead of the diffraction grating. By reversing the light ray direction, the demultiplexer can act as a multiplexer. One-dimensional spatial-shaping may be implemented in waveguide WDM devices.

TITLE: MULTIPLEXER/DEMULTIPLEXER FOR WDM OPTICAL SIGNALS
DESCRIPTION

TECHNICAL FIELD:

The invention relates to optical devices and in particular to devices for
5 wavelength-division multiplexing of optical signals and/or demultiplexing such
multiplexed signals. The invention is especially, but not exclusively, applicable to so-
called "free space" multiplexer/demultiplexer devices in which the light beams traversing
the device are not guided.

10 BACKGROUND ART:

Increasingly, optical communications systems use wavelength division
multiplexing (WDM) to increase capacity by transmitting data at several different
wavelengths, simultaneously, via the same communications channel, for example via the
same optical fiber. Such increased capacity results in lower optical fiber requirements
15 and allows existing systems to be upgraded to handle increases in data traffic.

In order to implement WDM, optical transmission systems require a multiplexer
for multiplexing several signals having different wavelengths to form a single WDM
signal for transmission, and a demultiplexer for demultiplexing the WDM signal to
extract the original signals having different wavelengths. The multiplexer and the
20 demultiplexer may be identical devices, but with light traversing them in opposite
directions.

The invention is concerned especially with multiplexers/demultiplexers which use
an angularly-dispersive element, such as a diffraction grating. Examples of such devices
are disclosed in US patents Nos. 4,622,662 (Laude *et al.*), 4,819,224 (Laude *et al.*),
25 4,926,412 (Jansson *et al.*), and French patent document No. 2,731,573 (Laude). Such
devices include a diffraction grating, a collimator lens and an array of optical
waveguides, specifically fibers. When the device is used as a demultiplexer, the input
WDM light beam is collimated and then separated by the diffraction grating into a
plurality of light beams having different wavelengths and the collimator lens focuses each
30 light beam onto a respective one of the output fibers.

In WDM systems, the intensity of the transmitted/received signals may vary as
a result of relative shift of the signal wavelength and the gaussian-type shape of the
spectral response of the multiplexer/demultiplexer. Another problem due to the

shoulders/wings of the gaussian-type spectral response not being steep enough is an associated overlapping between signals in adjacent communications channels resulting in cross-talk, i.e. poor isolation. These problems can be ameliorated by making the spectral response of the multiplexer/demultiplexer relatively flat, so that variations in the signal wavelength are less likely to affect intensity. It is desirable, therefore, to obtain a spectral response which comprises a substantially flat passband with sharply rising edges/steep shoulders. Such flattening could be obtained by making the optical source spatially wider than the output waveguides, but this would increase transmission loss because each waveguide would intercept only a small portion of the associated light beam.

In an article entitled "An original low-loss and pass-band flattened SiO₂ on Si planar wavelength demultiplexer", OFC '98 Technical Digest, February 1998, page 77, G.H.B. Thompson *et al.* proposed instead to use two waveguide arrays with equal diffraction efficiency arranged in tandem, with the image of the first array forming the source for the second array. Such a waveguide array multiplexer/demultiplexer would have a flat-topped response and so be less likely to suffer from poor isolation between channels. However, it would still suffer from high losses and require active temperature stabilization.

The spectral response of a wavelength-division multiplexer using a single grating resembles the response shape of coupling loss as a function of offset between two fibers. This is a convolution between two gaussians, i.e. it is itself a gaussian function. The ratio of channel passband width to spacing can be increased, and the spectral response flattened, by using an even number of gratings in order to cancel out the linear dispersion at the output fiber. This can be done with one grating and an array of retroreflectors shifted one relative to another in a plane perpendicular to the grating's dispersion plane. Such an approach to flattening the response characteristic of a multiplexer/demultiplexer of the "free space" kind was disclosed by Isao Nishi *et al.* in a first article entitled "Broad-Passband-Width Optical Filter for Multi/Demultiplexer using a Diffraction Grating and a Retroreflector Prism", Electronics Letters, Vol. 21, No. 10, May 1985, pp. 423 and 424, and in a second article entitled "Broad Passband Multi/Demultiplexer for Multimode Fibers Using a Diffraction Grating and Retroreflectors", Journal of Lightwave Technology, Vol. LT-5, No. 12, December 1987, pp. 1695-1700. In Nishi *et al.*'s device, the retroreflectors are placed where usually the

output fiber array would be positioned. Input WDM light is diffracted a first time by the diffraction grating, reflected by the retroreflector back to the diffraction grating where it is diffracted a second time, and then focused onto the output fiber array. This arrangement is not entirely satisfactory for several reasons. In particular, passing the
5 light through the diffraction grating twice may increase polarization-dependent loss. Also, although Nishi *et al.*'s device might be suitable for multimode fiber arrays and relatively broad bandwidths, there is an increasing demand for narrower bandwidths and single mode fiber arrays. The smaller dimensions involved may lead to difficulties in making very small retroreflectors and aligning them precisely. For example, Nishi *et*
10 *al.* described a two channel wavelength division multiplexer with 100 nm channel spacing using two retroreflectors, each with base width $W = 250\mu$, and length $L = 100\mu$, with a lateral offset of 62μ between the retroreflectors. The retroreflectors were separate elements assembled and glued individually. Increasing the number of channels to, say, eight, with a spacing of 1.6 nm, for example, would require retroreflectors with width
15 and length approximately equal to 150μ and 50μ , respectively, and a shift of about 10μ between them. Manufacture of these elements, and their assembly in a WDM device, would pose major technological problems.

An object of the present invention is to ameliorate the disadvantages of the above-described devices.

20

DISCLOSURE OF INVENTION:

According to the present invention, there is provided a multiplexer/demultiplexer device for multiplexing a plurality of light beams communicated via a corresponding plurality of ports to form a wavelength-division-multiplexed (WDM) light beam, each
25 of said plurality of light beams comprising a distinct group of wavelengths, the groups having different centre wavelengths, and for demultiplexing such a wavelength-division-multiplexed (WDM) light beam to form the corresponding plurality of light beams. The multiplexer/demultiplexer comprises:

- (i) angular dispersion means for dispersing a said WDM light beam
30 incident thereupon along a predetermined optical path into said plurality of light beams, each at a corresponding one of a plurality of dispersion angles in a dispersion plane of the angular dispersion means, or combining a plurality of light beams incident thereupon each at a corresponding one of said plurality of dispersion angles to form a said WDM

light beam in said optical path,

(ii) a WDM port disposed in said optical path for communicating said WDM light beam to or from said angular dispersion means;

(iii) a plurality of ports disposed in said dispersion plane and so positioned relative to said angular dispersion means as to define a corresponding plurality of optical paths for communicating said plurality of light beams between said angular dispersion means and respective ones of said plurality of ports; and

(iv) optics means for spatially-modifying said WDM light beam, or said WDM light beam and each of said plurality of light beams, or each of said plurality of light beams, so as to reduce the width of the or each spatially-modified light beam in the direction of the dispersion plane relative to its width transverse to the dispersion plane such that, when the device is used as a demultiplexer, each of the plurality of light beams will have a numerical aperture substantially matched to that of the associated port for all of the wavelengths contained within the corresponding distinct group of wavelengths, and, when the device is used as a multiplexer, the WDM light beam will have a numerical aperture substantially matched to that of said WDM port for all of the wavelengths contained within the plurality of distinct groups of wavelengths.

The angular dispersion means may comprise a diffraction grating.

In one preferred embodiment of the invention, the angular dispersion means comprises a diffraction grating and a collimating lens disposed between the diffraction grating and the WDM port for collimating light leaving the WDM port before the light is incident upon the diffraction grating, or conversely focusing a light beam leaving the diffraction grating onto the associated port.

The optics means may comprise a plurality of lens elements, such as microlenses, each for spatially-shaping a respective one of said plurality of light beams and associated with a respective one of the plurality of ports.

Alternatively, the optics means may comprise a lens element, such as a microlens, associated with said WDM port for spatially-shaping said WDM light beam.

The optics means may comprise, in combination, such a plurality of lens elements each for spatially-shaping a respective one of said plurality of light beams and such a lens element associated with the WDM port for spatially-shaping the WDM light beam, the total spatial-shaping being sufficient to give the specified relationship between the numerical apertures of the light beam(s) and port(s), respectively.

Each port may be the end of an optical waveguide, for example an optical fiber.

The or each microlens may comprise a cylindrical lens and may be spaced from the associated one of the ports by a distance about equal to the focal length of the microlens.

- 5 The numerical aperture of each optical element being approximately equal to the numerical aperture of the associated port, e.g. fiber or other waveguide, minimizes losses as the optical element focuses the light of slightly different wavelengths incident thereupon into the corresponding port/waveguide.

Where a lens element is provided adjacent an input port, a light beam entering
10 the port and passing through the lens element will be preconditioned, i.e. its width reduced as aforesaid, to such an extent that, following dispersion and focusing, each of the output light beams is concentrated into the required groups of wavelengths and numerical aperture.

15 BRIEF DESCRIPTION OF THE DRAWINGS:

Various features and advantages of the present invention will become apparent from the following description, taken in conjunction with the attached drawings, of preferred embodiments of the invention, which are described by way of example only.

Figure 1 is a simplified schematic diagram of a wavelength-division demultiplexer
20 according to a first embodiment of the invention, comprising a microlens array interposed between a diffraction grating and an output waveguide array;

Figure 2 is a detail view of a part of the demultiplexer showing the waveguide array but with the microlens array removed, and illustrates ray tracing of light beams having different wavelengths;

25 Figure 3 illustrates the ray tracings at the ends of the waveguides without the microlens array;

Figures 4A to 4D illustrate the spectral response characteristics of the demultiplexer without the microlens array;

Figure 5 is a detail view corresponding to Figure 2 but with the microlens array
30 interposed;

Figure 6 corresponds to Figure 3 but illustrates the ray tracings at the ends of the waveguides with the microlens array interposed;

Figures 7A to 7D correspond to Figures 4A to 4D but illustrate the spectral

response characteristics of the demultiplexer with the microlens array interposed;

Figure 8 is a simplified schematic diagram of a demultiplexer according to a second embodiment of the invention; and

Figure 9 is a simplified schematic diagram of a demultiplexer according to a third
5 embodiment of the invention.

BEST MODE(S) FOR CARRYING OUT THE INVENTION:

In the drawings, identical or corresponding components in the different figures have the same reference numeral.

10 For convenience, only a demultiplexer will be described in detail. It should be appreciated that the wavelength-division multiplexer is identical physically to the demultiplexer. The same device can be used for either multiplexing or demultiplexing simply by reversing the direction of the light beams traversing it.

Referring to Figure 1, a demultiplexer embodying the present invention comprises
15 an angular dispersion element in the form of a diffraction grating 11, a collimator lens 12, an input WDM port comprising the end of an optical waveguide 13, a plurality of output ports comprising the ends of an array of four output optical waveguides 14₁-14₄ and spatially-shaping optics means in the form of an array of four microlenses 15₁-15₄. In this specific embodiment, the waveguides 13 and 14₁-14₄ are single mode optical
20 fibres each having a core about 10 microns in diameter. The microlenses 15₁-15₄ are each aligned with a corresponding one of the output waveguides 14₁-14₄. By focusing the grating-diffracted light passing through it, each microlens modifies the spectral response of a corresponding channel.

A WDM light beam leaving the input port 13 passes through the lens 12 which
25 collimates the WDM light beam before it is incident upon the diffraction grating 11. The WDM light beam comprises a plurality of wavelength-division multiplexed light beams having different centre wavelengths λ_1 to λ_4 , respectively. Although, in communications systems, it is usual to have only one wavelength per channel, drifting can occur, so each light beam can comprise a group of wavelengths having a range 2Δ dispersed about the
30 centre wavelength. The diffraction grating 11 separates the WDM light beam into its constituent plurality of light beams, each of which leaves the diffraction grating 11 at a different one of a plurality of dispersion angles in a dispersion plane DP (see Figure 4A). In Figure 1, the dispersion plane is the plane of the drawing. The output waveguides

14₁-14₄ are aligned in the dispersion plane. Following dispersion by the grating, the light beams pass through the collimating lens 12 again, in the opposite direction. The collimating lens 12 and microlenses 15₁ to 15₄ combine to focus each of the plurality of light beams towards the end of a corresponding one of the output waveguides 14₁-14₄,
5 the ends of which are located close to a focus of the lens 12.

Referring to Figures 2, 3 and 4A-4D, the demultiplexer components, without the microlens array 15₁-15₄, are aligned to produce minimal losses. As illustrated in Figures 4A, 4B and 4C, the spatial distribution of intensity across each fiber exhibits a sharp peak. Figure 4D illustrates the light distribution in the core of each single mode fiber,
10 and shows that intensity with respect to wavelength also exhibits a sharp peak.

Referring to Figure 5, once the initial alignment has been completed, the microlenses 15₁-15₄ are interposed at a distance approximately equal to their focal length from the fibers 14₁-14₄. Each microlens acts as a funnel, gathering light of different wavelengths impinging upon it and focusing those wavelengths into the corresponding
15 fiber of the array. Each of the microlens 15₁-15₄ is located close to the focus of the collimating lens 12, typically a few hundred microns away, so the different wavelengths to be funnelled are relatively well separated spectrally. The microlenses 15₁-15₄ are cylindrical lenses, their cylindrical axes extending normal to the dispersion plane DP and in the direction y in Figure 7C. Consequently, as can be seen from Figure 7C, the
20 intensity distribution in the direction y is substantially unchanged. In the direction x in the dispersion plane DP, however, the resulting spectral intensity for each channel is plateau-shaped, with a flat top and steep edges, assuming that the collecting aperture of each microlens is much larger than the diameter of the individual fiber (typically 10 microns for single mode fiber). The relative positions of the microlens array 15₁-15₄,
25 collimator lens 12 and the ends of fibers 14₁-14₄ and 13 are optimized to obtain the required channel bandwidth as well as to minimize the losses. The position of the collimating lens 12 is also adjusted to take into account the finite thickness of the substrate on which the microlenses are held. In particular, the lens 12 is translated, depending on this thickness, a few hundred microns away from the fiber array.
30 Alternatively, the fiber array and the microlens array can be translated about the same distance away from the collimating lens 12.

It should be appreciated that the line distribution of the light in the focal plane is not transformed into a discrete multitude of foci, but into a continuous line distribution

of light rays whose convergence cones, i.e. numerical apertures (NA) are closely matched to the numerical apertures (NA) of the ports in such a way as to enable only rays within a specific range of wavelengths to enter the associated port. Each of the microlenses effectively increases the range of accepted wavelengths of the associated port by changing the ray angles, although at the expense of additional losses for the rays/wavelengths which were accepted before hand and now are spread out.

The requirements for the microlenses (focal length, diameter, type) are determined by the need to match the numerical aperture of each microlens closely with that of the associated fiber (for minimal losses) and by the channel separation and bandwidth. An example below shows a typical case.

The diffraction grating resolution R is given by the relationship:

$$R = \lambda/\delta\lambda = mN = D_{\text{beam}} \times \# \text{ lines/mm} / \cos \theta = 2 \text{ NA } f \times \# \text{ lines/mm} / \cos \theta \quad (1)$$

where N is the number of illuminated grooves of the diffraction grating of order m placed at an angle θ and possessing certain $\#$ lines/mm, NA is the numerical aperture of the fiber and f is the focal length of lens 12. In order to be able to obtain a flat top channel response shape, the grating resolved bandwidth $\delta\lambda$ must be much less than the channel bandwidth. Thus, for a given diffraction grating, the focal length of the lens 12 has a minimal value below which the beam diameter is too small to utilize enough grooves of the grating for the required resolution. For a given diffraction grating and collimating lens focal length f , the bandwidth of each channel $\Delta\lambda$ is defined by the aperture ΔL of the collecting optics/fibers according to the expression:

$$\Delta\lambda = \Delta L / (f \times d\theta/d\lambda) \quad (2)$$

In order to minimize the losses, the numerical aperture of the collecting optics, i.e. of each microlens, should preferably be matched to that of the fiber, which imposes a dependence

$$\Delta L = 2 \text{ NA } \times f_{\text{microlens}} \quad (3)$$

where ΔL is the diameter of a microlens with a focal length $f_{\text{microlens}}$. As an example, for channel spacing of 1.6 nm and $\lambda = 1550$ nm, the grating resolution R has to be about 10,000. For a typical grating of 600 l/mm ($\theta \approx 27^\circ$) this results in a minimal focal length $f \approx 6$ cm. In order to have channel spacing of 1.6 nm, and a corresponding maximum channel bandwidth of 1.6 nm, using the angular dispersion of the grating $d\theta/d\lambda = 0.677$ mrad/nm, from equation (2) the microlens should have a diameter (aperture) $\Delta L = 65$ microns. This will correspond to the separation between the fibers

in the array. Taking fiber $NA = 0.11$, from equation (3) $f_{\text{microlens}} = 295$ microns.

Because of light ray path reversibility, the device depicted in Figure 1 can also be used as a multiplexer. Light of the appropriate wavelengths λ_1 - λ_4 entering from the array of fibers 14₁-14₄ will be directed through the optical system in the reverse direction and enter the fiber 13 as a wavelength-division-multiplexed light beam.

The demultiplexer of Figure 1 may be modified, as shown in Figure 8, by omitting the array of microlenses 15₁-15₄ adjacent the ends of waveguides/fibers 14₁-14₄ and inserting a single microlens 15 adjacent the end of fiber 13. With this arrangement, white light entering the device from fiber 13 is spatially-shaped by single microlens 15, passes through the collimator lens 12 and impinges upon the diffraction grating 11, which splits it into angularly-dispersed light beams λ_1 - λ_4 . The light beams pass through the collimator lens 12 in the opposite direction and each of the fibers 14₁-14₄ captures light in the bandwidth from $\lambda_i - \Delta\lambda_i$ to $\lambda_i + \Delta\lambda_i$.

This modified arrangement is easier to implement/manufacture because it requires the alignment of only one microlens and input optical waveguide, as opposed to the device of Figure 1 which requires alignment of each element of the array of output waveguides with the corresponding one of the array of microlenses, which poses very stringent tolerance requirements upon the relative positions of those elements. The same modified version of the device may be used as a multiplexer by reversing the light ray direction.

It is also envisaged that given the reversibility, a symmetrical multiplexer or demultiplexer could be produced which has a microlens adjacent each waveguide/fiber, both input and output, in essence combining the devices of Figures 1 and 8. Figure 9 illustrates such a symmetrical device. In this case, in dispersion plane DP light from the fiber illuminates only a small part of the collimating lens 12. As the fiber array 14₁-14₄ is small compared with the diameter of lens 12 (say 1-2 mm vs. 1-2 cm), only the central portion of the lens will be utilized, resulting in smaller aberration, i.e. lower losses, as compared with a standard multiplexer/demultiplexer using only a grating and a single collimating lens. Moreover, a symmetrical configuration in optics tends to better match beam waists and numerical apertures, also leading to smaller losses.

It should be appreciated, however, that the small diameter of the beam at the lens 12 and at both input and output microlenses will result in small grating resolution R and relatively large diffraction, tending to produce a more gaussian-type spectral shape than

the flat-topped spectral shape produced by the non-symmetrical devices of Figures 1 and 8.

A simplification of the device of Figure 9 may be achieved by using a single microlens array to focus the light for both the input and output fibers.

5 The use of an array of cylindrical lenses with their axes perpendicular to the dispersion plane of the grating results in lower losses as the cylindrical lens does not change the original light beam convergence/focusing in the direction of the cylindrical lens axis. Moreover, the microlens alignment is required in only one of the two lateral dimensions. However, an array of spherical lenses, diffractive-type elements, and so on,
10 could be used instead, providing they produce the required spatial-shaping.

Although the above-described embodiments use a plane diffraction grating and a collimating lens, the invention may also be implemented with a concave mirror instead of the collimating lens or with a concave grating which combines the functions of the diffraction grating and the collimating lens. Either a reflection grating or a transmission
15 grating could be used, but the reflection types are preferred for higher efficiency and dispersion. Also, any other dispersive elements, such as prisms, etc., could be used instead of the diffraction grating. The array of fibers may be substituted by an array of other waveguides. For convenience of illustration, only four channels, i.e. four microlenses, four waveguides and four light beams have been depicted. In a practical
20 embodiment, however, there could be many more, typically 8, 16, 32, 64 and so on.

Although the above-described embodiments are "free-space" devices, it is envisaged that the invention could be implemented in a waveguide grating based WDM device where one-dimensional or planar lenses can be used instead of the cylindrical lenses.

25

INDUSTRIAL APPLICABILITY

Embodiments of the present invention may advantageously be used in WDM optical communications systems to alleviate variations in losses as a result of signal wavelength changes.

CLAIMS:

1. A multiplexer/demultiplexer device for multiplexing a plurality of light beams communicated via a corresponding plurality of ports to form a wavelength-division-multiplexed (WDM) light beam, each of said plurality of light beams comprising a
5 distinct group of wavelengths, the groups having different centre wavelengths, and for demultiplexing such a wavelength-division-multiplexed (WDM) light beam to form the corresponding plurality of light beams, the multiplexer/demultiplexer comprising:

(i) angular dispersion means for dispersing a said WDM light beam incident thereupon along a predetermined optical path into said plurality of light beams,
10 each at a corresponding one of a plurality of dispersion angles in a dispersion plane of the angular dispersion means, or combining a plurality of light beams incident thereupon each at a corresponding one of said plurality of dispersion angles to form a said WDM light beam in said optical path,

(ii) a WDM port disposed in said optical path for communicating said
15 WDM light beam to or from said angular dispersion means;

(iii) a plurality of ports disposed in said dispersion plane and so positioned relative to said angular dispersion means as to define a corresponding plurality of optical paths for communicating said plurality of light beams between said angular dispersion means and respective ones of said plurality of ports; and

20 (iv) optics means for spatially-modifying said WDM light beam, or said WDM light beam and each of said plurality of light beams, or each of said plurality of light beams, so as to reduce the width of the or each spatially-modified light beam in the direction of the dispersion plane relative to its width transverse to the dispersion plane such that, when the device is used as a demultiplexer, each of the plurality of light
25 beams will have a numerical aperture substantially matched to that of the associated port for all of the wavelengths contained within the corresponding distinct group of wavelengths, and, when the device is used as a multiplexer, the WDM light beam will have a numerical aperture substantially matched to that of said WDM port for all of the wavelengths contained within the plurality of distinct groups of wavelengths.

30

2. A device according to claim 1, wherein the angular dispersion means comprises a diffraction grating and a collimating lens, the collimating lens disposed between the diffraction grating and the WDM port for collimating light leaving the WDM port before

the light is incident upon the diffraction grating or conversely focusing a WDM light beam leaving the diffraction grating onto the WDM port.

3. A device according to claim 1, wherein the optics means comprises a plurality
5 of microlens elements each associated with a respective one of the plurality of ports and plurality of dispersion angles, each of said microlens elements for spatially-shaping a respective one of said plurality of light beams.

4. A device according to claim 2, wherein the optics means comprises a plurality
10 of microlens elements each associated with a respective one of the plurality of ports and plurality of dispersion angles, each of said microlens elements for spatially-shaping a respective one of said plurality of light beams.

5. A device according to claim 1, wherein the optics means comprises a microlens
15 element associated with said WDM port for spatially-shaping said WDM light beam.

6. A device according to claim 2, wherein the optics means comprises a microlens
element associated with said WDM port for spatially-shaping said WDM light beam.

20 7. A device according to claim 1, wherein the optics means comprises a plurality of microlens elements each associated with a respective one of the plurality of ports and plurality of dispersion angles, each of said microlens elements for spatially-shaping a respective one of said plurality of light beams, and a microlens element associated with said WDM port for spatially-shaping said WDM light beam.

25

8. A device according to claim 2, wherein the optics means comprises a plurality of microlens elements each associated with a respective one of the plurality of ports and plurality of dispersion angles, each of said microlens elements for spatially-shaping a respective one of said plurality of light beams, and a microlens element associated with
30 said WDM port for spatially-shaping said WDM light beam.

9. A device according to claim 1, wherein the angular dispersion means comprises a diffraction grating means and a collimating device.

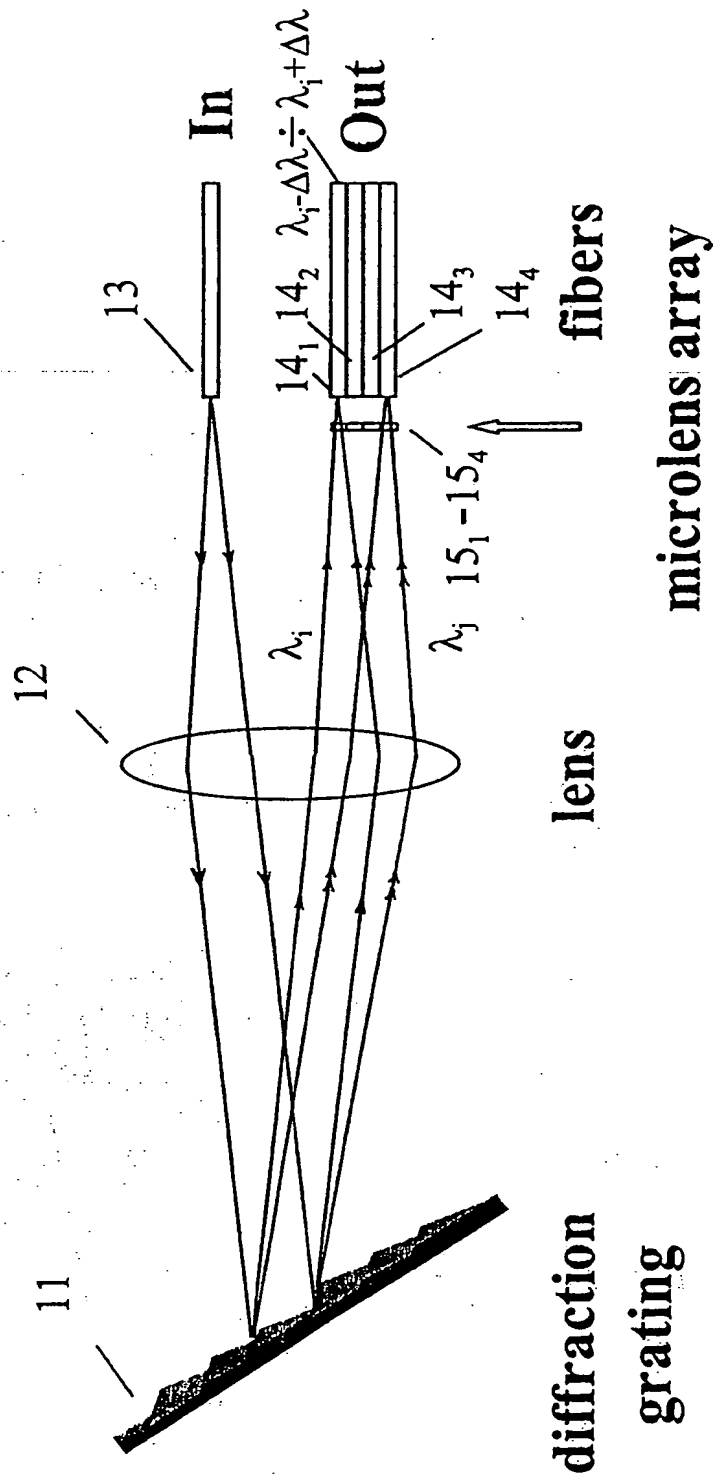
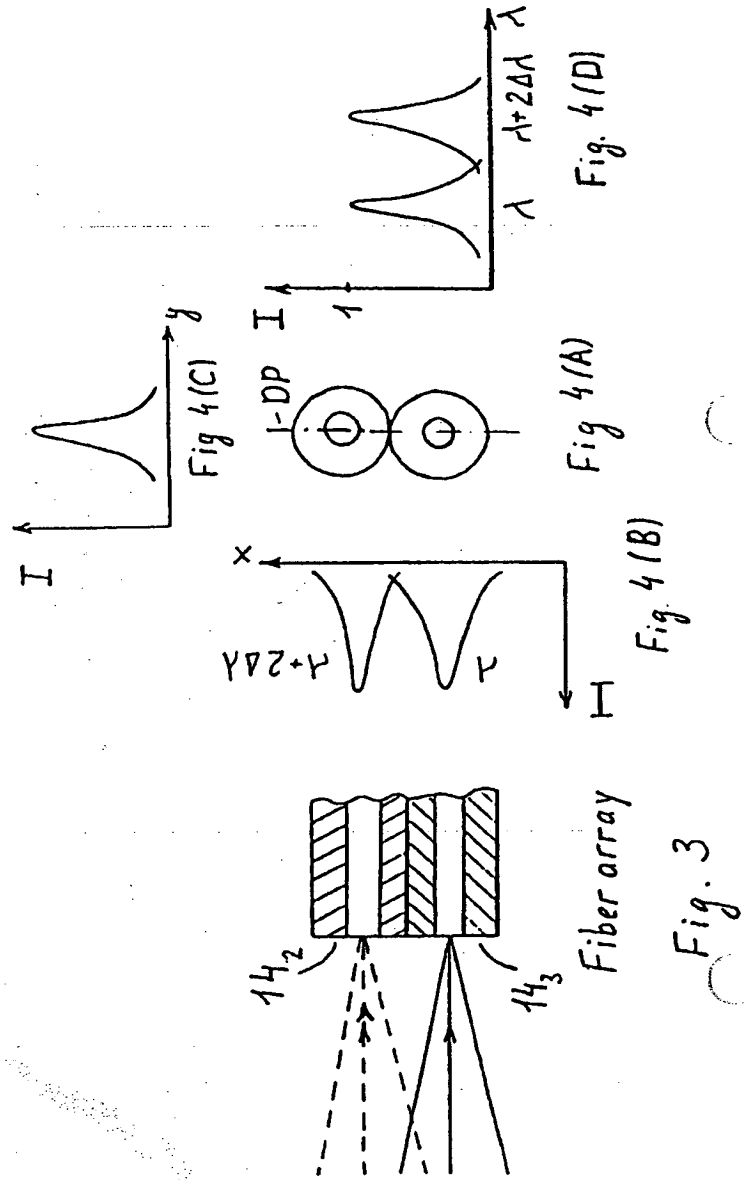
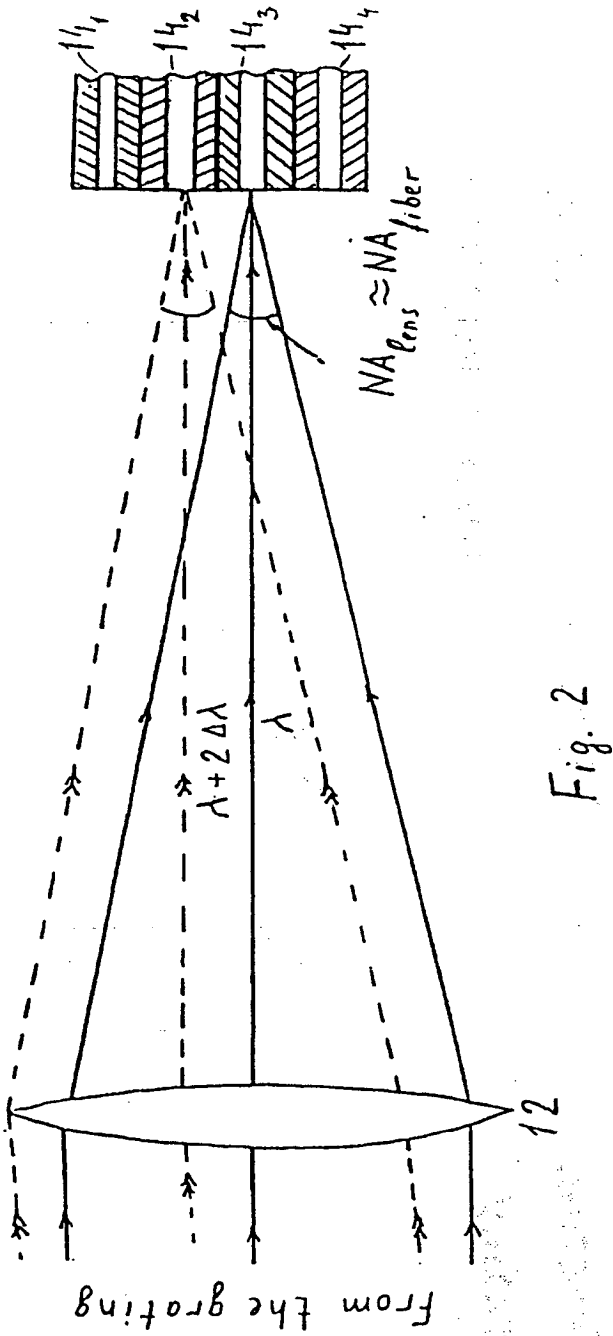


Fig. 1

Kennedy, Adams & Assoc.

AGENT FOR APPLICANT



Thomas & Adam, & Assoc.
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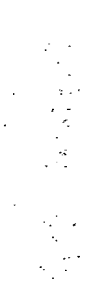


Fig. 5



Fig 7(c)

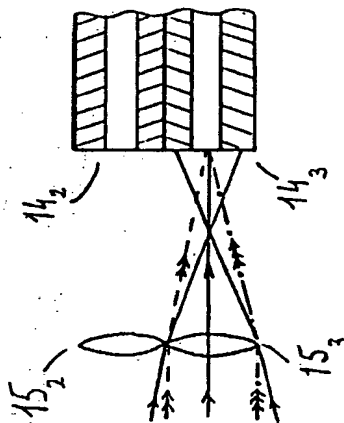
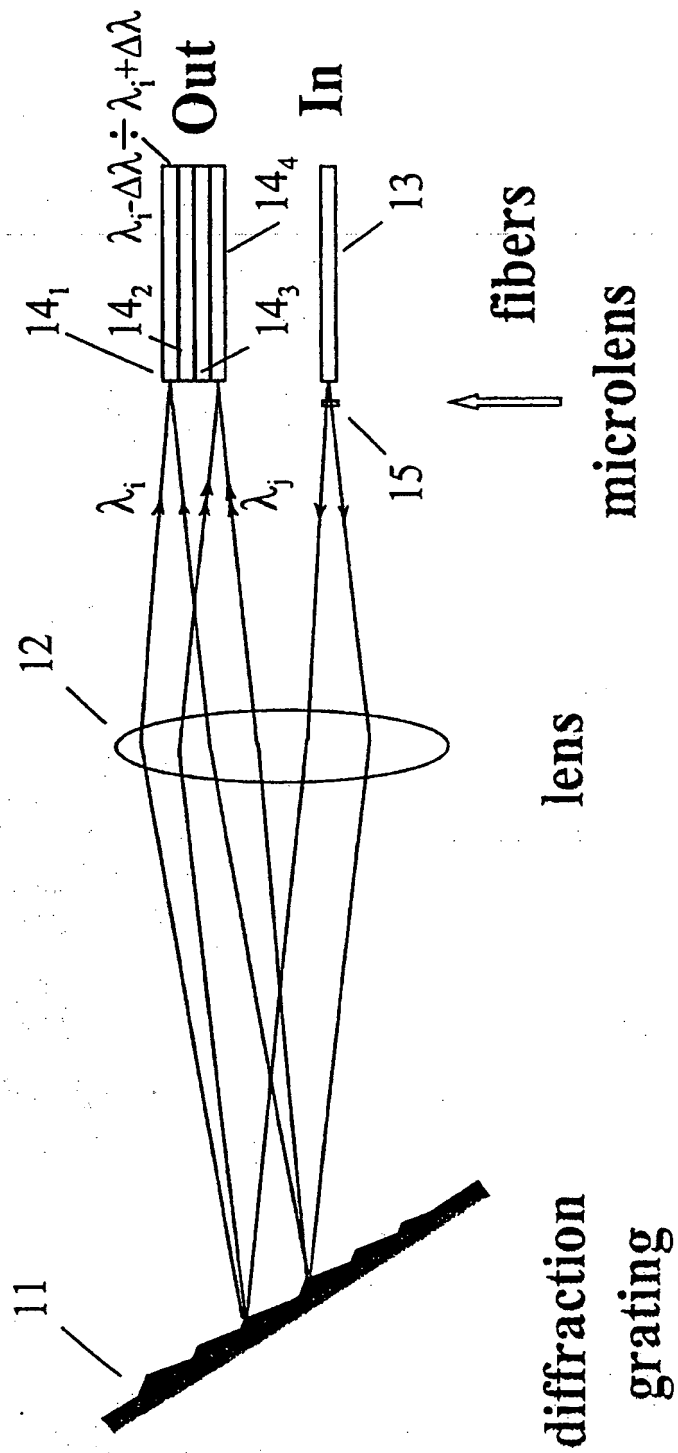


Fig. 7(A)

Fig 7(B)

Fig. 7 (D)

Thomas H. Evans & Assoc.
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Thomas Adams #18807.
AGENT FOR APPLICANT

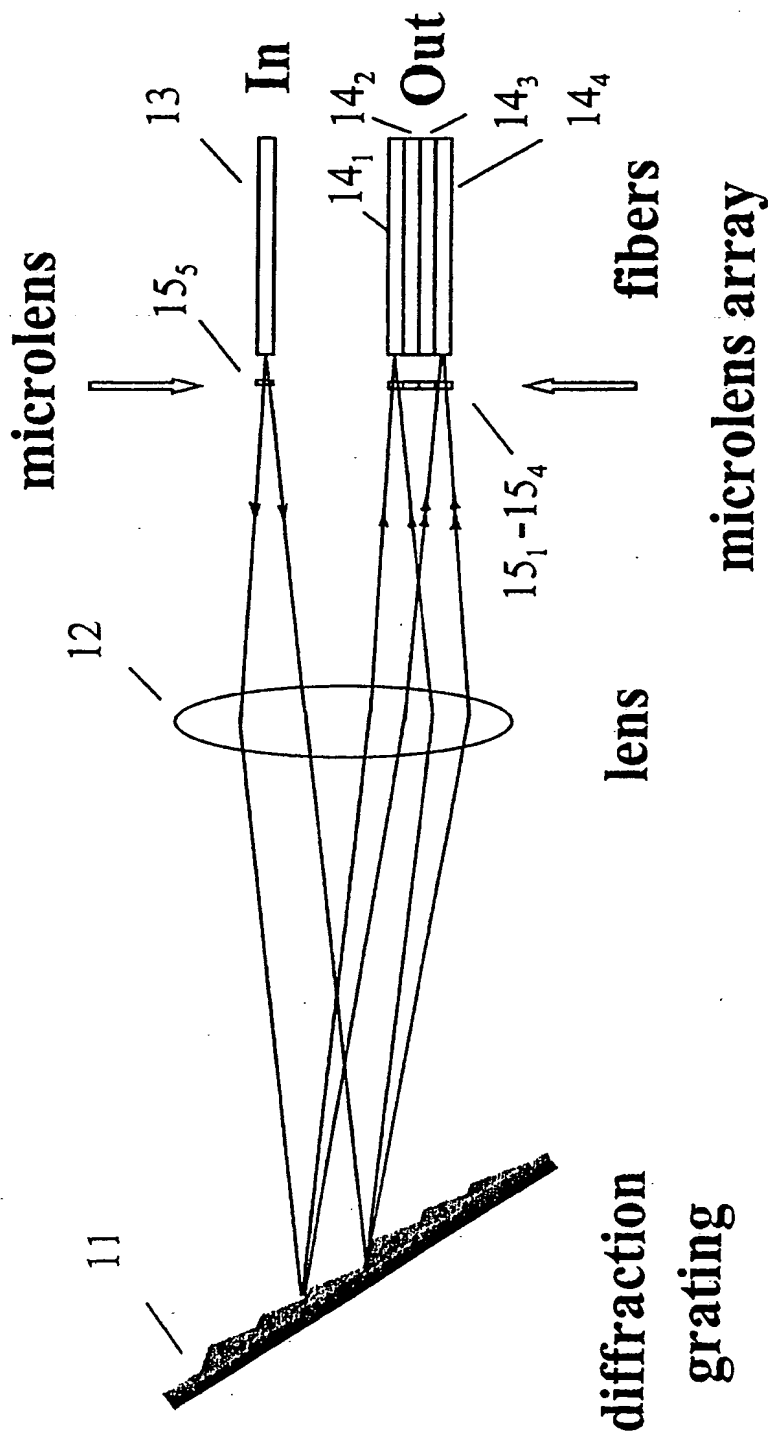


Fig. 9

Thomas Adams & Assoc.,
AGENT FOR APPLICANT

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